



Highview Capital Management, LLC is registered as an investment adviser with the Securities and Exchange Commission. Brokerage and investment advisory services and fees differ, and it is important for you to understand the difference. Free and simple tools are available to you to research firms and financial professionals at [www.investor.gov/CRS](http://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

## What investment services and advice can you provide me?

We provide wealth planning and investment advisory services to high net worth retail investors and their related entities such as trusts, charities, and LLCs. The services we offer include discretionary asset management services, financial planning, and financial consulting. There are no limitations on those services. For a more complete description of our services, please see our firm's website at [www.hv-cap.com](http://www.hv-cap.com). We monitor the investments of our clients for whom we provide portfolio management services. Our monitoring occurs, at a minimum, with quarterly reviews or upon material changes in market conditions, tax laws, when new investment information is available, or there are changes in your own financial situation. Generally, we act with discretionary authority as a portfolio manager which allows us to purchase and sell securities without seeking your permission prior to trading. We are not limited in trading except by your known, identified restrictions. We do not limit ourselves in investment offerings. We have a \$2 million relationship minimum. Exceptions can be made in certain circumstances at the discretion of our partners. *For more information, please see our ADV, Part 2A, Advisory Services, Review of Accounts, and Investment Discretion at <https://adviserinfo.sec.gov/firm/brochure/306195>.*

**Questions to Ask Us:** Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

## What fees will I pay?

We charge an asset-based fee for our portfolio management service. Fees are paid monthly in arrears based upon the value of your portfolio as of the last day of the month. An asset-based fee means that if the value of your portfolio increases, our fee increases. Therefore, it is an incentive for us to encourage you to add assets to your account. In the event we do not manage assets for you we may charge on a fixed fee basis for financial planning or consulting engagements. In addition to our fees, you may pay custodian fees, account maintenance fees, transaction costs such as commissions and mutual fund ticket charges, as well as underlying fund manager fees. We do not keep, collect, or participate in any of these fees. *For more information, please see our ADV, Part 2A, Fees and Compensation at <https://adviserinfo.sec.gov/firm/brochure/306195>.*

*Please note, you will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.*

**Questions to Ask Us:** Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser?  
How else does your firm make money and what conflicts of interest do you have?

*When we act as your investment adviser, we must act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide to you. Here are some examples to help you understand what this means:*

As previously disclosed, we charge fees based on a percentage of assets under management, meaning we are incentivized to increase assets within client accounts. Further, Highview owners and employees may also be clients, but do not receive preferential treatment. Please see our ADV, Part2A at <https://adviserinfo.sec.gov/firm/brochure/306195>.

**Questions to Ask Us:** How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

Our financial professionals are compensated solely by the receipt of advisory fees from our clients. Please see our ADV, Part2A, <https://adviserinfo.sec.gov/firm/brochure/306195>.

Do you or your financial professionals have legal or disciplinary history?

No, neither our firm nor any of our associated persons has any disciplinary or legal history. You may go to [www.investor.gov/CRS](http://www.investor.gov/CRS) for a free and simple search tool to research us and our financial professionals.

## Additional Information

You may obtain additional information by viewing our website, [www.hv-cap.com](http://www.hv-cap.com) by viewing our current ADV, Part2A [as hyperlinked above](#). You can request up-to-date information about our firm and a copy of our relationship summary by calling Mark Sansoterra at 888-757-6610. The Form CRS will be posted to our website upon completion of our registration with the SEC.

**Questions to Ask Us:** Who is my primary contact person? Is he or she a representative of an investment adviser or broker-dealer? Who can I talk to if I have concerns about how this person is treating me?